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22651 U.S. PTO

Patent
Attorney's Docket No. 033175-003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

REQUEST FOR FILING CONTINUATION/DIVISIONAL
APPLICATION UNDER 37 C.F.R. § 1.53(b)22858 U.S. PTO
10/799050

MAIL STOP PATENT APPLICATION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Customer No. 21839

Sir:

This is a request for filing a [] continuation [X] divisional application under 37 C.F.R. § 1.53(b) of pending Application No. 09/730,698 filed on December 5, 2000, for SELF-ADJUSTING OPTICAL ADD-DROP MULTIPLEXER AND OPTICAL NETWORKS USING SAME, by the following named inventor(s):

- (a) Full Name Guohua Xiao
 (b) Full Name Xiaoping Mao
 (c) Full Name Lintao Zhang
 (d) Full Name Xiaofan Cao

[X] The entire disclosure of the prior application from which a copy of the oath or declaration is supplied herewith is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

[] This application is being filed by less than all the inventors named in the prior application. In accordance with 37 C.F.R. 1.63(d)(2), the Commissioner is requested to delete the name(s) of the following person or persons who are not inventors of the invention being claimed in this application.

- (a) Full Name _____
 (b) Full Name _____
 (c) Full Name _____

[] This application is being filed by more than all the inventors named in the prior application. In accordance with 37 C.F.R. 1.63(d)(5), a new oath or declaration is enclosed, and the Commissioner is requested to add the name(s) of the following person or persons who are inventors of the invention being claimed in this application.

- (a) Full Name _____
 (b) Full Name _____
 (c) Full Name _____

Express Mail mailing label No. EV346844457US
 Date of Deposit March 12, 2004

I do hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and addressed to the Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

RON CANA
 (Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

(09/03)

☒ Applicant(s) suggests Figure 4 for inclusion on the front page of the patent application publication and/or patent.

☐ Applicant(s) requests that the published application include the following assignment information: .

1. ☒ Enclosed is a copy of the prior Application No. 09/730,698 as originally filed on December 5, 2000, including copies of the specification, claims, drawings and the executed oath or declaration as filed.
2. ☐ Enclosed is a revised prior application and a copy of the prior executed oath or declaration as filed. No new matter has been added to the revised application.
3. ☐ Small entity status is hereby claimed.
4. ☒ The filing fee is calculated below ☒ and in accordance with the enclosed preliminary amendment:

C L A I M S					
	No. OF CLAIMS		EXTRA CLAIMS	RATE	FEE
Basic Application Fee					\$770.00 (1001)
Total Claims	3	MINUS 20 =	0	× \$18.00 (1202) =	0
Independent Claims	1	MINUS 3 =	0	× \$86.00 (1201) =	0
If multiple dependent claims are presented, add \$290.00 (1203)					
Total Application Fee					770
If small entity status is claimed, subtract 50% of Total Application Fee					
Add Assignment Recording Fee of \$ <u>\$40.00 (8021)</u> if Assignment document is enclosed					
TOTAL APPLICATION FEE DUE					770.00

5. ☐ This application is being filed without a filing fee. Issuance of a Notice to File Missing Parts of Application is respectfully requested.
6. ☐ Charge \$ to Deposit Account No. 02-4800 for the fee due.
7. ☒ A check in the amount of \$ 770.00 is enclosed for the fee due.
8. ☒ The Director is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

9. ☒ Cancel in this application original claims 1 - 26 and 30 - 39 of the prior application before calculating the filing fee.
10. ☐ New drawings are enclosed.
11. ☐ Priority of Application No. filed on in (country) is claimed under 35 U.S.C. § 119.
- ☐ The certified copy of the priority application
- ☐ is enclosed.
- ☐ was filed on in prior Application No. , filed on , and acknowledged by the Examiner on in Paper No. .
- ☐ has not yet been filed.
12. ☐ A preliminary amendment is enclosed.
13. ☐ An Information Disclosure Statement is enclosed.
14. ☐ A General Authorization for Payment of Fees and Petitions for Extensions of Time is enclosed.
15. ☐ Also enclosed .
16. ☒ The power of attorney in the prior application is to .
- a. ☐ The power appears in the original papers in the prior application.
- b. ☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
- c. ☐ Recognize as Associate Attorney .
- d. ☒ Address all future communications to: (May only be completed by applicant, or attorney or agent of record.)

BURNS, DOANE, SWECKER & MATHIS, L.L.P.
Customer Number: **2 1 8 3 9**
P.O. Box 1404
Alexandria, Virginia 22313-1404

Date: March 11, 2004

By: 
Claude A.S. Hamrick
Registration No. 22,586

ADDRESS OF SIGNATOR:

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- ☐ inventor(s)
☐ assignee of complete interest
☒ attorney or agent of record
☐ filed under 37 C.F.R. § 1.34(a)